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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ZANT(s): CUBERES ALTISEN et al.

SERIAL NO.: 10/804,505 ART UNIT:

FILING DATE: 3/19/04 EXAMINER:

TITLE: SUBSTITUTED AZETIDINE COMPOUNDS, THEIR

PREPARATION AND USE AS MEDICAMENTS

ATTORNEY

DOCKET NO.: 785-011733-US (PAR)

Commissioner of Patents P.O. Box 1450

Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b)(3))

Sir:

This information disclosure statement is being filed before the mailing of a first Office Action on the merits.

The following information is being disclosed to the Patent and Trademark Office as information that may be material to the examination of the above-identified patent application. Applicants' Attorney is aware of the following references:

US 5,073,646

DE 299 21 689 U1

"Health Aspects of Cannabis", Leo E. Hollister

"Chemistry and pharmacology of cannabis", Renu Seth et al.

"Potential Role of Cannabinoids for Therapy of Neurological Disorders", Consroe and Sandyk

- "Novel Syntheses of 1,3,3-Trinitroazetidine", Alan R. Katritzky, et al.
- "Acylative Dealkylation of *N-tert-Butyl-3-substituted Azetidines:*Facile Access to [1.1.0] Azabicyclobutane, 3-Hydroxyazetidinium
  Hydrochloride, and 3-Azetidinones", Paritosh R. Dave
- "Synthesis of Novel Four-Membered Ring Amino Acids as Modulators of the N-Methyl-D-Aspartate (NMDA) Receptor Complex", Alan P. Kozikowski, et al.
- "Synthesis of 1,3,3-Trinitroazetidine", Theodore Axenron, et al.
- "The selective formation of unsaturated alcohols by hydrogenation of  $\alpha,\beta$ -unsaturated aldehydes in supercritical carbon dioxide using unpromoted Pt/Al<sub>2</sub>O<sub>3</sub> catalyst", Bhalchandra M. Bhanage, et al.
  - "Studies on  $\beta$ -Methyl-1-and 3-Phenyl Allyl Chlorides", M.Y. Shandala, et al.
    - "Selective Reduction of Carbonyl Compounds by Polymethylhydrosiloxane in the Presence of Metal Hydride Catalysts", Hubert Mimoun et al.
- "Catalytic Asymmetric Cyclopropanation of Allylic Alcohols with Titanium-TADDOLate: Scope of the Cyclopropanation Reaction", Andre B. Charette, et al.
- "Thionyl Chloride-Benzotriazole in Methylene Chloride: A Convenient Solution for Conversion of Alcohols and Carboxylic Acids Expeditiously into Alkyl Chlorides and Acid Chlorides by Simple Titration", Sachin S. Chaudhari, et al.
- "Activation de la liaison silicium-halogene par les halogenures de bismuth (III). Halogenation des alcohols: perspectives et mecanisme [1]", Mireille Labrouillere, et al.

"Syntheses of Two Cytotoxic Sinapyl Alcohol Derivatives and Isolation of Four New Related Compounds from Ligularia nelumbifolia", Yu Zhao, et al.

"Towards an Understanding of Cram/anti-Cram selectivity on Addition of Crotylboronates to  $\alpha$ -Methylbutyraldehyde", Reinhard W. Hoffmann

"Stereospecific Synthesis of 2,3,6-Trisubstituted Piperidines: An Efficient Total Synthesis of  $(\pm)$ -Pumiliotoxin  $C^1$ ", Norman A. LeBel, et al.

"Triphenylphosphine/Dichloroselenurane: A New Reagent for a Selective Conversion of Alcohols into Alkyl Chlorides", Jozef Drabowicz, et al.

"Formation of indole nucleus via intramolecular cyclization of aminophenylpropenyltriphenylphosphonium salts with one-carbon degradation", Shin'ichi Taira et al.

"Benzophenone Derivatives and Related Compounds as Potent Histamine  $H_3$ -Receptor Antagonists and Potential PET/SPECT Ligands<sup>1)</sup>", Astrid Sasse, et al.

"Stereoselective Syntheses of Spicy Components in Peppers",
Akihiro Ohta, et al.

"Negative Inotropic and Calcium Antagonistic Activity of Alkyl and Arylalkyl Phosphonates", Cristina Bellucci, et al.

"Synthesis of 6-(3-Aryl-2-propenyl)-2,3-dihydro-5hydroxybenzofuran Derivatives by Cross Coupling Reactions", Ramon J. Alabaster, et al.

"Antioxidant-Based Inhibitors of Leukotriene Biosynthesis. The Discovery of 6-[1-[2-(Hydroxymethyl)phenyl]-1-propen-3-yl]-2,3-

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  - "Configurational Analysis of 1-Alkyl-2-Methylazetidin-3-ols",
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  - "Cyclization of 1-Alkylamino-3-halo-2-alkanols to 1-Alkyl-3-azetidinols", V.R. Gaertner
    - "Solid-Phase Synthesis of Oximes", Hajipour, A.R., et al.
- "A Short, Efficient Synthesis of Substituted Uracil: An Indane Carbocyclic Nucleoside", Franco Fernandez, et al.
  - "Benzhydryl and Fluorenyl Lactamimides with Hypoglycemic, Diuretic, Blood Platelet Aggregation Inhibitory, and Antiinflamatory Activities", J. Martin Grisar, et al.
- "Synthesis of Substituted Benzhydrylamines", Yves Dejaegher, et al.
- "7-Azetidinylquinolones as Antibacterial Agents. Synthesis and Structure-Activity Relationships", Jordi Frigola et al.
- "7-Azetidinylquinolones as Antibacterial Agents. 1. Synthesis and Biological Activity of 7-(2,3-Disubstituted-1-azetidinyl)-4-oxoquinoline- and -1,8-naphthyridine-3-carboxylic Acids.
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- Stereoisomers Containing a 7-(3-Amino-2-methyl-1-azetidinyl)
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- "The First Practical Method for Asymmetric Epoxidation", Ramanuj
  Goswami

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- "Amberlyst-15(H<sup>+</sup>)-NaBH<sub>4</sub>-LiCl: An Effective Reductor for Oximes and Hydrazones", Bipul Baruah et al.
  - "The Synthesis of Azetidine-3-carboxylic Acid", Arthur G.
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  - "The Azetidines, Recent Synthetic Developments", Norman H.

    Cromwell, et al.
    - "Synthese de l'amino-3 azetidine", Dino Nisato, et al.
- "A Short Enantioselective Synthesis of N-Boc-lpha-Amino Acids from Epoxy Alcohols", Marta Poch, et al.
  - "A Versatile Enantiospecific Approach to 3-Azetidinols and Aziridines", Marta Poch, et al.
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- "A human whole blood assay for clinical evaluation of biochemical efficacy of cyclooxygenase inhibitors", Brideau et al.
  - "Reactivos Bioquimicos y Organicos para investigation en biociencias" Sigma
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  - 4 pages of Product Information by product number from product catalog (www.caymanchem.com)
  - "Amberlyst-15(H<sup>+</sup>)-NaBH<sub>4</sub>-LiG1: An Effective Reductor for Oximes and Hydrazones", Bipul Baruah et al.
- Copies of these references are enclosed together with a Form PTO-1449.

The filing of this Statement is not to be construed as a representation that a search has been made regarding the claimed invention (37 C.F.R. §1.97(g)) or that no other possible material information exists. In addition, the filing of this Information Disclosure Statement is not to be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability (37 C.F.R. §1.97(h)).

The Commissioner is hereby authorized to charge payment for any fees associated with this communication or credit any over payment to Deposit Account No. 16-1350.

Respectfully submitted,

Geza G. Ziegler

Req. Nb. 44,004

PERMAN & GREEN, LLP 425 Post Road Fairfield, CT 068424 Customer No. 2512 25 October 2004

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated below as first class mail in an envelope address to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Page No.: 1 of: 8

### Docket No.: 785-011733-US (PAR) Serial No.: 10/804,505 INFORMATION DISCLOSURE CITATION FORM FOR IP E applicant(s): CUBERES ALTISEN, et al. PATENT APPLICATION (FORM PTO-1449) OCT 2 8 200 Fing Date: 3/19/04 Group: (Substitute) **U.S. PATENTS** Issue Dale Patent Number Class Sub-Filing date Initials Name class 12/17/91 Pinol et al. 953 6/20/90 5,073,646 548 U.S. PATENT PUBLICATIONS Sub-Pub. Date Class Filing Date **Initials** Publication No. Name class FOREIGN PATENT DOCUMENTS Translation? **Initials Document Number** Date Country Name Yes/No/n/a DE 299 21 689 U1 5/23/01 Thomas Emde Germany no OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known) "Health Aspects of Cannabis", Leo E. Hollister, Pharmacological Reviews, 38, 1986, 1-20 "Chemistry and pharmacology of cannabis", Renu Seth et al., Prog-Drug-Res., 1991, Vol. 36, page 71-115 "Potential Role of Cannabinoids for Therapy of Neurological Disorders", Consroe and Sandyk, Neurobiology and Neurophysiology, 1992, 459-524 "Novel Syntheses of 1,3,3-Trinitroazetidine", Alan R. Katritzky, et al., J. Heterocyclic Chem. 31, 271-275 (1994) "Acylative Dealkylation of *N-tert-Butyl-3-substituted Azetidines: Facile Access to [1.1.0]* Azabicyclobutane, 3-Hydroxyazetidinium Hydrochloride, and 3-Azetidinones", Paritosh R. Dave, J. Org. Chem. 1996, 61, 5453-5455 "Synthesis of Novel Four-Membered Ring Amino Acids as Modulators of the N-Methyl-D-Aspartate (NMDA) Receptor Complex", Alan P. Kozikowski, et al., Synlett, 1991, (11), 783-784 "Synthesis of 1,3,3-Trinitroazetidine", Theodore Axenron, et al., Tetrahedron Letters, Vol. 34, No. 42, pp. 6677-6680, 1993 Examiner's Signature: Date Considered: Initial if reference was considered, whether or not citation is in conformance with MPEP. Mark through citation if not considered. Include a copy of this citation form with your next correspondence to the Applicant(s).

Page No.: 2 of: 8

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Docket No.: 785-011733-US (PAR)

Serial No.: 10/804,505

Applicant(s): CUBERES ALTISEN, et al.

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Docket No.: 785-011733-US (PAR)

Serial No.: 10/804,505

Applicant(s): CUBERES ALTISEN, et al.

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Docket No.: 785-011733-US (PAR)

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Page No.: 5 of: 8 Docket No.: 785-011733-US (PAR) Serial No.: 10/804,505 Applicant(s): CUBERES ALTISEN, et al. Group: Class Filing date Subclass

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# Docket No.: 785-011733-US (PAR) INFORMATION DISCLOSURE **CITATION FORM FOR** PATENT APPLICATION Applicant(s): CUBERES ALTISEN, et al. (FORM PTO-1449) (Substitute) Filing Date: 3/19/04 U.S. PATENTS **Initials** Patent Number Issue Date Name U.S. PATENT PUBLICATIONS Pub. Date Initials Name Publication No. FOREIGN PATENT DOCUMENTS **Initials Document Number** Date Country

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(FORM PTO-1449)

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